

# Étale Cohomology - 8/25/2020

Last time:

Thm (Serre)  $X$  sm. projective/ $\mathbb{C}$

$[H] \in H^2(X, \mathbb{Z})$  hyperplane class

$F: X \rightarrow X$  s.t.  $F^*[H] = q[H]$ ,  $q \in \mathbb{Z}_{\geq 1}$

Then eigenvalues of  $F^*$  on  $H^i(X, \mathbb{C})$   
all have abs. value  $= q^{i/2}$ .

Office hours: Mondays, 10am, Zoom link on course website.

HW: Join discord, post a fun fact about yourself

Facts:  $L: H^i(X, \mathbb{C}) \rightarrow H^{i+2}(X, \mathbb{C})$   
 $\alpha \mapsto \alpha \cup [H]$

Hard Lefschetz Thm:  $H^j(X, \mathbb{C}) \cong \text{im } L \otimes H^j_{\text{prim}}$

$$H^j_{\text{prim}} = \bigoplus_{p+q=j} H^{p,q}_{\text{prim}}$$

Hodge index thm:  $\alpha, \beta \in H^k(X)_{\text{prim}}$

$$\langle \alpha, \beta \rangle = i^k \int_X \alpha \wedge \bar{\beta} \wedge [H]^{n-k}$$

is definite on  $H^{p,q}_{\text{prim}}$ .

Pf of Serre's analog of RH:

Want: eigenvalues of  $F^*$  on  $H^k(X, \mathbb{C})$   
have abs.  $q^{k/2}$ .

Suffices to do this for  $H_{\text{prim}}^k$ :

eigenvector  $\alpha \in H^{k-2}(X, \mathbb{C})$ , by induction

can assume eigenvalue has abs. val  $q^{(k-2)/2}$ .

$$\begin{aligned} F^*(\alpha \cup [H]) &= F^*\alpha \cup F^*[H] \\ &= \lambda \alpha \cup q[H] \\ &= \lambda (\alpha \cup [H]) \\ &\hookrightarrow q^{k/2} \end{aligned}$$

Let's do it for  $H_{\text{prim}}^k$ :

$\alpha \in H_{\text{prim}}^k$  be  $F^*$ -eigenvector w/ eigenvalue  $\lambda$

$\alpha \in H_{\text{prim}}^{p,q}$  for some  $p, q$  s.t.  $p+q=k$ .

$$|\lambda|^2 \langle \alpha, \alpha \rangle = \langle F^*\alpha, F^*\alpha \rangle$$

$$= \int_C F^*\alpha \wedge F^*\alpha \wedge [H]^{n-k}$$

$$= \frac{i^k}{q^{n-k}} \int F^*(\alpha \wedge \bar{\alpha} \wedge [H]^{n-k})$$

$\hookrightarrow \in H^{2n}(X, \mathbb{C})$   
 $F^* \text{ acts via } q^n$

$$= \frac{q^n i^k}{q^{n-k}} \int \alpha \wedge \bar{\alpha} \wedge [H]^{n-k}$$

$$= q^k \langle \alpha, \alpha \rangle$$

If  $\langle \alpha, \alpha \rangle \neq 0$ , get  $|\lambda|^2 = q^k$   
 $|\lambda| = q^{k/2}$   
 C Hodge index thm.

Slozjin: Structures on coh.  $\Rightarrow$  RH.

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## Étale morphisms

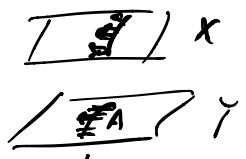
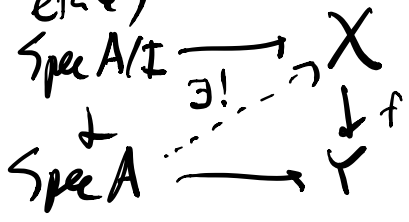
$f: X \rightarrow Y$  morphism of schemes

Defn  $f$  is étale if it is locally of finite presentation, flat, unramified.

Defn  $f$  is unramified if:  $\Omega'_{X/Y} = 0$   
 (equivalent: all residue field extns are sepble)

Equv: • smooth of rel. dim  $0$   
 • If  $p$  is formally étale

Defn (formally étale)  
 $I^n = 0$   
 for some  $n$



Equiv: Locally "standard étale"

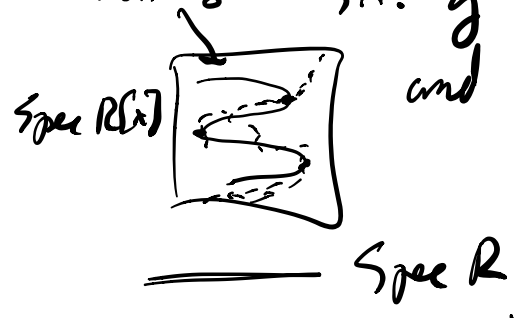
For each  $x \in X$ ,  $y = f(x)$ ,  $\exists U \ni x, V \ni y$  st.

$$f(U) \subseteq V$$

$$V = \text{Spec } R$$

$$U = \text{Spec } (R[x]_h / (g))$$

roots of  $g$  s.t.  $g'$  is a unit in  $R[x]_h$  and  $g$  is monic.



slozen:  $g' \text{ a unit} \iff g \text{ has no double roots in fibers.}$

Exercis: Check that standard étale morphisms are étale.

Examples: Ex mult. by  $\mathbb{C}[t]$  an ell. curve of  $n$  is invertible in the base.

Ex  $G_n = \text{Spec } k[t, t^{-1}]$

$$\begin{array}{ccc} G_n & \rightarrow & G_n \\ t^n & \leftarrow & t \end{array} \quad \text{is étale if } n \text{ prime to char } k.$$

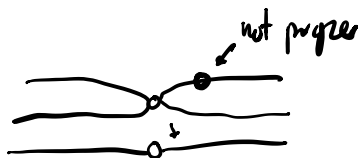
Exercise this is étale (hint:  $\frac{\partial}{\partial t}(t^n) = nt^{n-1} \neq 0$ )

Ex  $G_n \hookrightarrow \mathbb{A}^1$  if  $p \neq n$  ✓  
 $k[t, t^{-1}] \hookrightarrow k[t]$  flat ✓  
 $\Omega'_{G_n/\mathbb{A}^1} = 0$  ✓

Prop Any open immersion is étale.

Ex (An étale morphism which is not finite onto its image)

$$\begin{array}{ccc} G_n \setminus \{1\} & \rightarrow & G_n \\ t^2 & \leftarrow & t \end{array} \quad \text{char } k \neq 2$$



étale surjection but not finite étale.

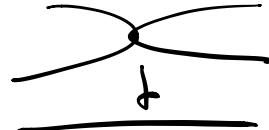
Ex finite sep'ble field extn.

Non-ex:  $X = \text{Spec } k[x, y]/xy$

X

$\tilde{X} \rightarrow X$  not étale (not flat)

$$\begin{array}{ccc} A' & \xrightarrow{f} & A' \\ t' & \longleftarrow & t \end{array}$$



not étale:  $\Omega_{A'/A}^1 = k(t) dt / d(t^2) = k(t) dt / 2t dt$

= supported at  $t=0$   
(if  $\text{char} \neq 2$ ).

finite flat, s.t.  $\Omega_{X/Y}^1$   
is not torsion?

$$\begin{array}{ccc} A' & \xrightarrow{F} & A' \\ t' & \longleftarrow & t \end{array}$$

char  $p$

$$\Omega_{A'}^1 = k(t) dt / d(t^p)$$

$$= k(t) dt.$$

Ex  $f: A^m \xrightarrow{f_1, \dots, f_m} A^m$

$f$  is étale in a nbd of  $(a_1, \dots, a_m)$  if  
 $\det \left( \frac{\partial f_i}{\partial x_j} \Big|_{(a_1, \dots, a_m)} \right)$  is a unit.

Prop. (1) open immersions are étale

(2) compositions of étale morphisms are étale  
(hint: use cotangent exact sequence for  $\Omega_{X/Y}^1$ )

(3) base change of étale is étale

$$\begin{array}{ccccc} & & X \times_T Y & \rightarrow & X \\ \text{étale} & \rightarrow & \downarrow & \square & \downarrow \\ & & T & \rightarrow & Y \end{array}$$

(+ | 2 out of 3)  $\varphi \circ \psi, \varphi$  étale  
 $\Rightarrow \psi$  étale (exercice)

Prop Étale morphisms on varieties over  $k = \bar{k}$  induce isomorphisms on complete local rings at closed pts.

Pf (exercice) Hint: criteria for formal étaleness.

Cor (informal) any property that can be checked at level of complete local rings is true for source of étale morphism if it's true for target.

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Sites - generalization of topological spaces/sheaf

Q What parts of the def'n of topological space do you need to define a sheaf?

(i) Open sets, inclusions  
"category of open sets"

(presheaf on  $X$ : contravariant functor out of the category of open sets on  $X$ )

sheaf condition: section to a sheaf is determined by its values on a cover  
• glue sections which agree on intersections

- (ii) collections of morphisms which are "covers"
- (iii) existence of certain fiber products (intersections)

Motivation:  $U, V \subseteq X$   
 $U \times_X V = U \cap V$

Pre-Defn (Grothendieck topology/site)

A category  $C$  w/ a collection of "covering families"

$$\{X_\alpha \xrightarrow{f_\alpha} X\}_{\alpha \in A} \text{ s.t. } \dots$$

(I leave you the axioms)

Ex  $X$  top. space,  $C =$  category of open sets

$\{U_\alpha \rightarrow U\}$  is a covering family if  $U_\alpha$  cover  $U$ .

Ex  $M$ -manifold.  $C =$  category of all  $M' \xrightarrow{f} M$   
 s.t.  $f$  is locally on  $M'$  an isomorphism.

$\{M_\alpha \xrightarrow{f_\alpha} M\}$  is a covering family if  $\bigcup \text{im}(f_\alpha) = M$ .

Ex  $X$ -scheme,  $X_{\text{ét}}$  - category of all étale  $Y/X$

$\{X_\alpha \xrightarrow{f_\alpha} X\}_\alpha$  a covering family if  $\bigcup \text{im}(f_\alpha) = X$ .