

# Étale Cohomology - Lecture 1

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- Admin stuff: - In-person
- Please show up synchronously
  - Assessment: Some exercises during lecture + reading might have to present
  - A bit of reading

- Prerequisites:
- Homological algebra (abelian categories, derived functors, spectral sequence)
  - Sheaf theory, sheaf cohomology
  - Schemes (Hartshorne II, III)

Discord: Join w/ full name.

- Goals: (1) Basics of étale cohomology
- étale morphism
  - Grothendieck topologies, étale topology
  - étale cohomology - basic theory + comparison
  - Étale cohomology of curves.
- (Following Milne's "Lectures on Étale Cohomology")

(Friedtag-Kiehl)

(2) PFCs of Weil conjectures

(3) Advanced topics: - Weil II

- Formality

- Other topics (monodromy)

(Katz's AHS notes)

What is étale cohomology?

$X$  variety /  $\mathbb{C}$

f.t. separated integral  $\mathbb{C}$ -scheme

$$X(\mathbb{C})^{\text{an}} \rightsquigarrow H^i(X(\mathbb{C})^{\text{an}}, \mathbb{Z})$$

(i) f.g.  $\mathbb{Z}$ -modules

(ii)  $H^i(X(\mathbb{C})^{\text{an}}, \mathbb{C})$  - extra structure

(iii) cycle classes ...

Goal of étale coho: Do a similar thing for general nice schemes.

$$X \text{ "nice scheme"} \rightsquigarrow H^i(X_{\text{ét}}, \mathbb{Z}/\ell^n \mathbb{Z})$$

$$\rightsquigarrow H^i(X_{\text{ét}}, \mathbb{Z}_\ell)$$

$\swarrow$   
 $\mathbb{Z}_\ell$  -  $\ell$ -adic integers

$$H^i(X_{\text{ét}}, \mathbb{Q}_\ell)$$

"twisted coefficients"

e.g.  $X = \text{Spec } \mathcal{O}_K$   $K$ -# field  
 most analogous to  $\mathbb{C} \rightarrow X = \text{variety over alg. closed field}$   
 $X = \text{variety over non-alg. closed field.}$

Related maps:

$$(X, \bar{x}) \xrightarrow{\quad} \pi_1^{\text{ét}}(X, \bar{x})$$

$\hookrightarrow$   $\mathbb{C}$  geom. pt  $\hookrightarrow$   $\mathbb{C}$  profinite gp

More maps (beyond scope of this course)

- higher htpy gps
- htpy type

Note: This cohomology theory is weird!

Thm (Serre) There DNE a cohomology theory for schemes/ $\mathbb{F}_q$  with the following properties:

(1) Functorial

(2) Kunneth

(3)  $E$  ell. curve  $\rightarrow H^1(E) = \mathbb{Q}^2$

Slogan: There's no cohomology theory w/  $\mathbb{Q}$  coefficients.

Pf Take E-ss. elliptic curve  
 $\text{End}(E) \otimes \mathbb{Q} =$  <sup>non-split</sup> quaternion algebra.  $R$

Fact There are no  $R \rightarrow \text{Mat}_{2 \times 2}(\mathbb{Q})$ .

Exercise: Functoriality + Riemann  $\Rightarrow \text{End}(E) \cong E$

$$\downarrow$$
$$\text{End}(E) \cong H^1(E)$$

$$\text{End}(E) \rightarrow \text{Mat}_{2 \times 2}(\mathbb{Q}) \quad \downarrow \quad \square$$

Exercise Prove the same thing for  $\mathbb{Q}_p$  coefficients  
where  $p \nmid \text{char}(k)$ .

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Weil Conjectures:

$X$ -variety /  $\mathbb{F}_q$  (geom. integral f.t. separated)

$$S_X(t) = \exp\left(\sum_{n \geq 0} \frac{\#X(\mathbb{F}_{q^n})}{n} t^n\right)$$

•  $\frac{\partial}{\partial t} \log S_X(t) =$  gen fun for  $\#X(\mathbb{F}_{q^n})$

slogan: locations of zeros & poles of a meromorphic

for control the growth rate of the coefficients of the Taylor series of its log-derivative.

Exercise: make slogan precise for rat'l ftns

Conj (Weil) (1)  $S_X(t)$  is a rat'l ftn

(lftl eqn) (2)  $X$  sm. proper of dim  $n$

$$\text{Then } S_X(q^{-n}t^{-1}) = \pm q^{n\frac{E}{2}} t^E S_X(t)$$

$E$ : "Euler char" ???

(RH) (3) All roots + poles of  $S_X(t)$  have abs value  $q^{i/2}$   $i \in \mathbb{Z}$ .

(4)  $X$ -sm. proper. # of roots/poles w/ abs value  $q^{-i/2} = i$ -th "beta" number of  $X_{\overline{\mathbb{F}_q}}$ .

↑  
 makes sense of  $X$  (lftl to char 0).

(make sense of this in general)

Pf (1) Dwork (will follow from p.d. of  $H^i$ )

(2) Grothendieck (will follow from Poincaré duality)

### (3.4) Deligne

Euler product

$$S_X(t) = \exp\left(\sum_{n \geq 0} \frac{\# X(\mathbb{F}_{q^n})}{n} t^n\right)$$

(exercice)

$$= \prod_{x \in |X|} \exp\left(t^{\deg(x)} + \frac{t^{2\deg(x)}}{2} + \dots\right)$$

closed pts  
of  $X$   $\rightarrow$

$$= \prod_{x \in |X|} \exp(-\log(1 - t^{\deg(x)}))$$

$$= \prod_{x \in |X|} \frac{1}{1 - t^{\deg(x)}}$$

$\leftarrow$  Euler product

$$= \prod_{x \in |X|} \left(1 + t^{\deg(x)} + t^{2\deg(x)} + \dots\right)$$

$$= \sum_{n \geq 0} \left(\# \text{ of Galois-stable subsets of } X(\mathbb{F}_q) \text{ of size } n\right) t^n$$

$$= \sum_{n \geq 0} \# \left[ \text{Sym}^n(X) (\mathbb{F}_q) \right] t^n$$

$$\uparrow X^n / \Sigma_n$$

Thm  $X$  sm. proper curve /  $\mathbb{F}_q$ .

$S_x(t)$  is rat'l.

Pf  $\text{Sym}^n X \rightarrow \text{Pic}^n X$   
 $D \mapsto \mathcal{O}(D)$

Fiber /  $\mathcal{O}(D) = \mathbb{P}\Gamma(X, \mathcal{O}(D))$

$$\dim \mathbb{P}\Gamma(X, \mathcal{O}(D)) = \deg(D) - g + \dim H^1(X, \mathcal{O}(D))$$

If  $\deg D \geq 2g - 2$ , then  $H^1(X, \mathcal{O}(D)) = 0$

$$H^0(X, \mathcal{O}(K-D)) = 0.$$

negative degree

- Fibers of  $\text{Sym}^n X \rightarrow \text{Pic}^n X$  are  $\cong \mathbb{P}^{n-g}$   
if  $n \geq 2g - 2$
- WLOG (exercise) assume  $X(\mathbb{F}_q) \neq \emptyset$ .  
 $\Rightarrow \text{Pic}^n(X) \cong \text{Pic}^{n-g}(X)$  for all  $n$ .
- $\# \text{Sym}^n(X)(\mathbb{F}_q) = \# \mathbb{P}^{n-g}(\mathbb{F}_q) \cdot \# \text{Pic}^0(X)(\mathbb{F}_q)$   
for all  $n \geq 2g - 2$ .

$$\bullet S_X(t) = \text{polynomial} + \sum_{n \geq 2g-2} t^n \cdot \# P_{2n}(X)(\mathbb{F}_q) \cdot (1+q+q^2+\dots+q^{n-1})$$

Exercise Show this is a rat'l fn.

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Thm (Functional Eqn)

$$S_X(q^{-1}t^{-1}) = \pm q^{\frac{(2-2g)}{2}} t^{2-2g} S_X(t)$$

PF (Exercise) Serre duality.

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RH: later.

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Thm (Dwork)  $X/\mathbb{F}_q$  variety. Then

$$S_X(t) = \text{rat'l fn.}$$

PF (Grothendieck) Idea: Frobs:  $X \rightarrow X$

$X(\mathbb{F}_q) = \text{fixed pts of Frobs on } X/\mathbb{F}_q.$

Use "Lefschetz fixed pt formula":



$$\# X(\mathbb{F}_{q^n}) = \sum_{i=0}^{2 \dim X} (-1)^i \text{Tr}(Frob^n) H_c^i(X_{\overline{\mathbb{F}_q}}, \mathbb{Q}_\ell)$$

$\ell \neq \text{char } \mathbb{F}_q.$

Lemma  $V$  f.d. vector space,  $F: V \rightarrow V$ . Then

$$\exp\left(\sum \frac{\text{Tr}(F^n)}{n} t^n\right) \text{ is rat'l.}$$

Pf Suffices to treat the case  $\dim V = 1$

$$\begin{aligned} \exp\left(\sum \frac{\alpha^n}{n} t^n\right) &= \exp(-\log(1-\alpha t)) \\ &= \frac{1}{1-\alpha t} \leftarrow \text{rat'l } \square. \end{aligned}$$

Key input: Lefschetz fixed pt formula  
f.d. of étale cohomology.

Exercise: Try to figure out how Poincaré duality should give the final eqn.

Thm (Serre)  $X$  sm. proj. variety /  $\mathbb{C}$   
 $[H] \in H^2(X, \mathbb{C})$  is a hyperplane class.

$F: X \rightarrow X$  s.t.  $F^*[H] = q[H]$ ,  $q \in \mathbb{Z}_{\neq 1}$

$$L(F^n) = \sum_{i=0}^{2d_X} (-1)^i \text{Tr}(F^{*n} | H^i(X, \mathbb{Q}))$$

$$S_{X,F}(t) = \exp\left(\sum_{n=1}^{\infty} \frac{L(F^n)}{n} t^n\right)$$

Then  $S_{X,F}(t)$  satisfies RH (equivalently:  
eigenvalues of  $F^*$  acting on  $H^i(X, \mathbb{C})$   
all have abs. value  $q^{i/2}$ .)

Next two: Prove this is étale morphisms.  
(review def'n of étale morphisms)